

EAST MEADOW PUBLIC LIBRARY

BOARD OF TRUSTEES AND EMPLOYEE

CODE OF ETHICS AND CONFLICT OF INTEREST POLICY

The Library Board of Trustees recognizes that sound, ethical standards of conduct serve to increase the effectiveness of the Library Board of Trustees, Library staff and volunteers. Actions based on an ethical code of conduct promote public confidence and the attainment of library goals. The Board also recognizes its obligation, under the provisions of the New York General Municipal Law and other relevant laws, directives and agency regulations, to adopt a code of ethics setting forth the standards of conduct required of all library officers and employees.

The Library Board of Trustees is also committed to avoiding any situation in which the existence of simultaneous, conflicting interests of any Library officer or employee may call into question the integrity of the management or operation of the library. The Board affirms its commitment to adhere scrupulously to all applicable provisions of law regarding material conflicts of interest.

1. Gifts: No officer or employee shall directly or indirectly solicit, accept, or receive any money or gift having a value of \$75.00 or more, whether in the form of cash, check, loan, credit, services, travel, entertainment, hospitality or promise, or any other form, under circumstances in which it could reasonably be inferred that the money or gift was intended to influence him/her other in the performance of his or her official duties or was intended as a reward for any official action on his or her part. However, the Board welcomes and encourages the writing of letters or notes expressing gratitude or appreciation to staff members.

2. Confidential Information: No officer or employee shall disclose confidential information acquired by him or her in the course of his or her official duties or use such information to further his or her personal interest.

From time to time during the course of employment, employees may become aware of confidential information about the business affairs of the Library or other companies with which the library has a relationship. Since confidential information includes any and all information and materials (regardless of media) disclosed or made available to the employee or known by the employee as a direct or indirect consequence of or through employment with the library, including, but not limited to any and all Library proprietary information, information related to the Library's products, services or methods of operation, and information relating to the research, development, purchasing, accounting, marketing, merchandising, or selling activities of the Library.

All Library employees shall treat such information as confidential and shall not directly or indirectly disseminate, disclose, or otherwise make available any such information or any portion thereof to any entity or person other than an employee of the Library with the need to know such information or as otherwise directed by the Library. All employees

must also take reasonable precautions to prevent any unauthorized use or disclosure of confidential information. In addition, he or she shall not disclose information regarding any matters discussed in an executive session of the Board of Trustees regardless of whether or not such information is deemed confidential.

3. Representation Before the Board: An officer or employee shall not receive or enter into any agreement, express or implied, for compensation for services to be rendered in relation to any matter before the Library Board of Trustees without the Board's approval.

4. Representation Before the Board for a Contingent Fee: An officer or employee shall not receive or enter into any agreement, express or implied, for compensation for services to be rendered in relation to any matter before the Library Board, whereby the compensation is to be dependent or contingent upon any action by the Board with respect to such matter, provided that this paragraph shall not prohibit the fixing at any time of fees based upon the reasonable value of the services rendered.

5. Disclosure of Interest to Matters Before the Board: A member of the Board of Trustees and any officer or employee of the library, whether paid or unpaid, who participates in the discussion or gives official opinion to the Board on any matter before the Board shall publicly disclose on the official record the nature and extent of any direct or indirect financial or other private interest he or she has in such matters. The term "interest" means a pecuniary or material benefit accruing to an officer or employee.

6. Disclosure of Interests in Contracts: To the extent known, any officer or employee of the library who has, or will have, or subsequently acquires any interest in any contract with the library shall publicly disclose the nature and extent of such interest in writing to the library as well as to the Library Director as soon as he or she has knowledge of such actual prospective interest.

7. Investments in Conflict with Official Duties: No officer or employee of the library shall invest or hold any investment directly or indirectly to any financial, business, commercial or other private transaction that creates a conflict with his or her official duties.

8. Certain Real Property Interests Prohibited: No officer or employee of the library who has an interest in any real property, either individually or as an officer or employee of a corporation *or* partnership, shall participate in the acquisition or plan for acquisition of said property or any property adjacent to said property by the library. The term "participate" shall include the promotion of the site as well as the negotiation of the terms of the acquisition.

9. Prohibited Conflicts of Interest: No Library officer or employee shall have an interest in any contract between the Library and a corporation or partnership of which he or she is an officer or employee where such Library officer or employee has the power to negotiate, prepare, authorize or approve the contract or authorize or approve payment thereunder, audit bills or claims under the contract or appoint an officer or employee who

has any of the powers or duties set forth above and no chief financial officer, treasurer or his or her deputy or employee shall have an interest in a bank or trust company designated as a depository paying agent, registration agent or for investment of Library funds of which he or she is an officer or employee. The provisions of this action shall in no event be construed to preclude the payment of lawful compensation and necessary expenses of any Library officer or employee in one or more positions of public employment, the holding of which is not prohibited by law.

10. Certain Prohibited Actions: No person employed by the library shall hire, supervise, evaluate, promote, review or discipline any other employee who is a member of the same family. In the event that marriage, promotion, or reorganization results in a situation not in compliance with this policy, reassignment or transfer will be affected in accordance with the determination at that time by the Board of Trustees. Further the Library will not hire the parent, step-parent, sibling, step-sibling, spouse, domestic partner, child, step-child or in-law to those categories of a member of the Board of Trustees during their term of office except by a unanimous vote of the entire Board (except conflicted trustee(s)). The library will not hire or appoint a member of the immediate family of the Director, Assistant Director, Treasurer or Attorney while they are employed by the library unless approved by unanimous vote of the entire Board. No member of the immediate family of any of the foregoing individuals or category of individuals shall be hired or appointed for or assigned to any vacancy for which that individual or category of individuals has any responsibility. The foregoing shall be effective for any new individual hired, promoted, elected, appointed or selected after the effective date of this policy.

11. Private Employment: No officer or employee of the library shall engage in, solicit, negotiate for or promise to accept employment or render services for private interests when such employment or service creates a conflict with or impairs the proper discharge of his or her official duties.

12. Future Employment: No officer or employee of the library shall, after the termination of service or employment with the Library Board, appear before the Board or any panel or committee of the Board, in relation to any case, proceeding or application to which he or she personally participated during the period of his or her service or employment or that was under his or her active consideration. This shall not bar or prevent the timely filing by a present or former Library officer or employee of any claim, account, demand or suit against the library on his or her own behalf or on behalf on any member of his or her family arising out of any personal injury or property damage or for any lawful benefit authorized or permitted by law.

13. Use of Library Property: No Library officer or employee shall use or permit the use of property, owned or leased to the library, for anything other than the official purposes or for activities not otherwise officially approved by the Library Board of Trustees.

14. Duty to Disqualify: It is incumbent upon any Library officer or employee, whether paid or unpaid, to disqualify himself or herself immediately whenever the

appearance of a conflict of interest exists.

15. Duty to Report Conflicts of Interest: In the event that any Library officer or employee knows of or perceives of a direct or indirect conflict of interest, he or she shall report it to the Library Board of Trustees. Any resolution of any such conflict shall hold the Library's interest paramount, as well as maintain the Board's integrity in its governing role.

16. Duty to Report Violations of the Policy: Any Library officer or employee or any member of the public noting or suspecting a violation is encouraged to report the matter, either in confidence or in public, to the Library Board of Trustees. No Library officer or employee will be retaliated against for making such a report.

17. Written Statement Prior to Initial Election and Annually
Thereafter: Immediately following election of a trustee or officer, and annually thereafter, trustees and officers shall complete, sign and submit to the Secretary or President of the Board of Trustees a written statement identifying, to the best of the trustee's or officer's knowledge, any entity of which such trustee, officer or employee has a relationship, and any transaction in which the Library is a participant and in which the Trustee or officer might have a conflicting interest. The Secretary to the Board of Trustees shall provide a copy of all completed statements to the Board President. A copy of all such statements shall be kept on file in the library's records.

Distribution of the Library Officer and Employee Code
of Ethics and Conflict of Interest Policy

The Library Board of Trustees shall cause a copy of this Code of Ethics and Conflict of Interest Policy to be distributed to every officer and employee of the library. Each officer and employee elected or appointed thereafter shall be furnished a copy before entering upon the duties of his or her office or employment. In addition, the Board shall ensure that a copy of Article 18 of the General Municipal Law shall be kept posted in each public building under the library's officers and employees.

Penalties

In addition to any penalty contained in any other provision of law, a Library officer or employee who shall knowingly or intentionally violate any of the provisions of this Code of Ethics and Conflict of Interest Policy may be subject to disciplinary action up to and including the imposition of fines, suspension and dismissal, in the manner provided by applicable law and consistent with the provisions of any collective bargaining agreement, or library policy or directive.

Acknowledgment

The standard of behavior of the East Meadow Public Library is that all officers and employees, whether paid or unpaid, scrupulously avoid any conflict of interest between the interests of the East Meadow Public Library on the one hand, and their personal, professional and business interests of the other. This includes avoiding actual conflicts of interest as well as potential and perceived conflicts of interest.

I understand that the purposes of this policy are to protect the integrity of the East Meadow Public Library decision-making process, to enable Library constituents to have confidence in the library's integrity and to protect the integrity and reputation of all Library officers and employee both paid and unpaid.

Upon or before election, continuation of employment, hiring or appointment, I will make a full disclosure of any and all interests, relationships, and holdings that do create or could potentially create a conflict of interest. This written disclosure will be kept on file and I will update it as appropriate.

During the course of meetings and activities, I will disclose any interests in a transaction or decision where I (including my business and any other non-profit affiliation), my family and/or significant other, employer, or close associates will receive a benefit or gain. After disclosure, I understand that I will be asked to leave the room for the discussion and will not be permitted to vote on the question.

I understand that this policy is meant to be supplement to good judgement, and I will respect its spirit as well as its wording.

Signature: _____

Print Name: _____

Date: _____